

This appendix establishes modifications to the FERC approved NERC standard CIP-014–3 for its specific application in New Brunswick. This appendix must be read with CIP-014–3 to determine a full understanding of the requirements of the standard for New Brunswick. Where the standard and appendix differ, the appendix shall prevail.

For the purpose of this standard:

- the term "Bulk Electric System" and its acronym, "BES" shall mean the "bulk power system" as defined in the New Brunswick *Reliability Standards Regulation - Electricity Act*.
- the term "Planning Coordinator" shall mean "Planning Authority".
- the term "Electric Reliability Organization" and its acronym "ERO" shall mean North American Electric Reliability Corporation' with its acronym "NERC"

A. Introduction

1. **Title:** Physical Security
2. **Number:** CIP-014-3
3. **Purpose:** No New Brunswick modifications
4. **Applicability:**
 - 4.1. **Functional Entities:**
 - 4.1.1. No New Brunswick modifications
 - 4.1.1.1. No New Brunswick modifications
 - 4.1.1.2. No New Brunswick modifications
 - 4.1.1.3. No New Brunswick modifications
 - 4.1.1.4. No New Brunswick modifications
 - 4.1.2. No New Brunswick modifications
 - Exemption:** No New Brunswick modifications
5. **Effective Dates:**

No New Brunswick modifications
6. **Background:**

No New Brunswick modifications

B. Requirements and Measures

- R1.** No New Brunswick modifications
 - 1.1.** No New Brunswick modifications
 - 1.2.** No New Brunswick modifications
- M1.** No New Brunswick modifications
- R2.** No New Brunswick modifications
 - 2.1.** No New Brunswick modifications
 - 2.2.** No New Brunswick modifications
 - 2.3.** No New Brunswick modifications
 - 2.4.** No New Brunswick modifications
- M2.** No New Brunswick modifications
- R3.** No New Brunswick modifications
 - 3.1.** No New Brunswick modifications
- M3.** No New Brunswick modifications
- R4.** No New Brunswick modifications
 - 4.1.** No New Brunswick modifications
 - 4.2.** No New Brunswick modifications
 - 4.3.** No New Brunswick modifications
- M4.** No New Brunswick modifications
- R5.** No New Brunswick modifications
 - 5.1.** No New Brunswick modifications
 - 5.2.** No New Brunswick modifications
 - 5.3.** No New Brunswick modifications
 - 5.4.** No New Brunswick modifications
- M5.** No New Brunswick modifications
- R6.** No New Brunswick modifications

- 6.1.** No New Brunswick modifications
- No New Brunswick modifications
 - An entity or organization approved by the New Brunswick Energy and Utilities Board or NERC.
 - No New Brunswick modifications
 - No New Brunswick modifications
- 6.2.** No New Brunswick modifications
- 6.3.** No New Brunswick modifications
- 6.4.** No New Brunswick modifications

M6. No New Brunswick modifications

C. Compliance

1. Compliance Monitoring Process

1.1. Compliance Enforcement Authority

"Compliance Enforcement Authority" (CEA) means the New Brunswick Energy and Utilities Board

1.2. Evidence Retention

No New Brunswick modifications

1.3. Compliance Monitoring and Assessment Processes:

"Compliance Monitoring and Enforcement Processes" refers to the processes used to evaluate data or information for the purpose of assessing performance or outcomes with the associated Reliability Standard as set out in the New Brunswick Reliability Standards, Compliance and Enforcement Program.

2. Table of Compliance Elements

R #	Time Horizon	VRF	Violation Severity Levels (CIP-014-3)			
			Lower VSL	Moderate VSL	High VSL	Severe VSL
R1			No New Brunswick modifications			
R2						
R3						
R4						
R5						
R6						

D. Regional Variances

No New Brunswick modifications

E. Interpretations

No New Brunswick modifications

F. Associated Documents

No New Brunswick modifications

Version History (maintained by the NBEUB)

Version	NBEUB Approval Date	NB Appendix Effective Date	Change Tracking	Comments
0	10/28/22	10/29/22	1. Replace the term “Bulk Electric System” and its acronym “BES” with “bulk power system” throughout. 2. Replace the term “Planning Coordinator” and its acronym “PC” with “Planning Authority” throughout. 3. Revised Section C to clarify the NBEUB as the entity having the compliance enforcement authority for NB. 4. Replace “As defined in NERC Rules of Procedure” with “as set out in the New Brunswick Compliance Monitoring and Enforcement Program”. 5. Identify NERC as the Electric Reliability Organization (ERO). 6. For the purpose of this standard replace “An entity or organization approved by the “ERO”” with “An entity or organization approved by New Brunswick Energy and Utilities Board or “NERC””.	Approved by NBEUB, Matter 532.

Guidelines and Technical Basis

Section 4 Applicability

No New Brunswick modifications

Requirement R1

No New Brunswick modifications

Requirement R2

No New Brunswick modifications

Requirement R3

No New Brunswick modifications

Requirement R4

No New Brunswick modifications

Requirement R5

No New Brunswick modifications

Requirement R6

No New Brunswick modifications

No New Brunswick modifications

- No New Brunswick modifications
- *An entity or organization approved by the New Brunswick Energy and Utilities Board or NERC.*
- No New Brunswick modifications
- No New Brunswick modifications

No New Brunswick modifications

Timeline

No New Brunswick modifications

Rationale:

No New Brunswick modifications

Rationale for Requirement R1:

No New Brunswick modifications

Rationale for Requirement R2:

No New Brunswick modifications

Rationale for Requirement R3:

No New Brunswick modifications

Rationale for Requirement R4:

No New Brunswick modifications

Rationale for Requirement R5:

No New Brunswick modifications

Rationale for Requirement R6:

No New Brunswick modifications